

Causal diagrams for understanding when correlations doesn't imply causation

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Who am I? Andy Stein

- **Group:** Pharmacometrics
- **What I do:**
 - Support quantitative analysis of early clinical trials, with a focus on identifying the right dose.
- **My background:**
 - Mechanical Engineering and Applied Mathematics
- **My passion:**
 - Learning and bringing insights from other fields into drug development and vice versa (environmental modeling, managing uncertainty, parenting)



Motivation – the importance of finding the right dose of a drug

- Tylenol helps to reduce pain and fever and is safe at daily doses $\leq 3,000$ mg/day
- $>5,000$ mg/day can cause liver damage
- $>10,000$ mg/day can be lethal.
- You can learn about safety and efficacy from clinical trials
- Making best use of this data requires models to integrate the data



(paracetamol)

Johnson & Johnson

Understanding which factors to control for (age) is critical

Pain & Fever

Children's TYLENOL® Oral Suspension

DOSE: Repeat every 4 hours as needed. Do NOT give more than 5 doses in 24 hours.

If possible, use weight to dose; otherwise, use age.



Use product only as directed.

ACTIVE INGREDIENT

Acetaminophen
160 mg (in each 5 mL)

WEIGHT

24-35 lbs

36-47 lbs

48-59 lbs

60-71 lbs

72-95 lbs

AGE

2-3 years

4-5 years

6-8 years

9-10 years

11 years

DOSE

5 mL



7.5 mL



10 mL



12.5 mL



15 mL



Overview

- **Situation:**

- We use models relating drug concentration to response to guide drug development.
- Models are useful when they describe a **causal** relationship
- If relationships are only correlative relationship, they can lead to poor decisions

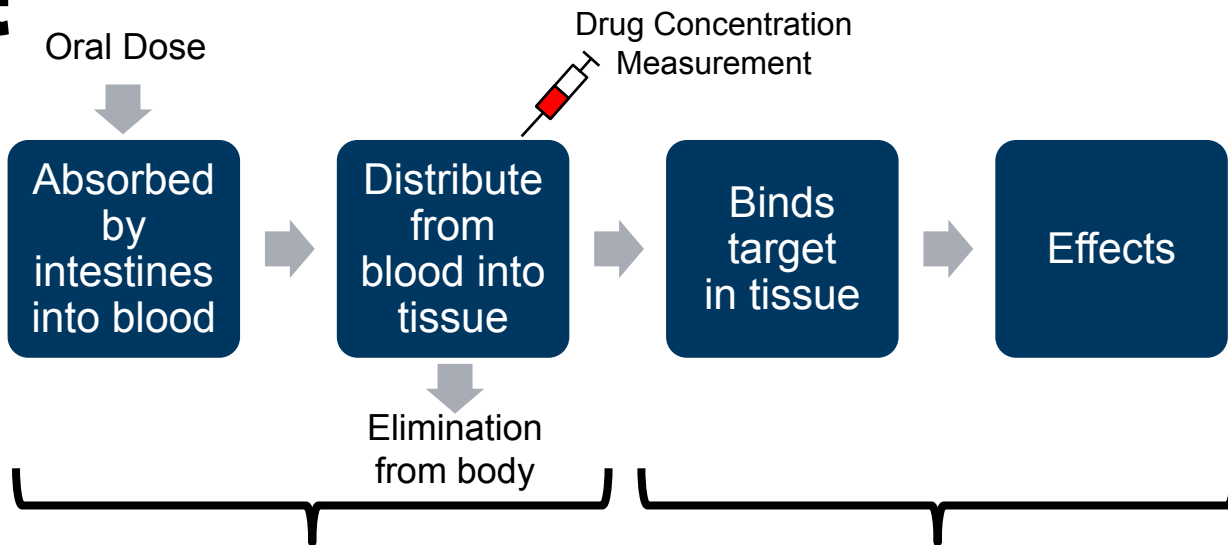
- **Question:** How to assess whether a relationship is causal or just correlative?

- **Answer:** Causal diagrams can help identify confounders

- **Outline**

- Introduce PKPD concepts and exposure-response modeling
- Present two examples of exposure-response confounding
- Introduce causal diagrams and their key structures
- Conclude with COVID-19 example

The “PKPD” pathway of drug effect



Pharmacokinetics (PK):
How body affects drug

Should children and adults
receive the same dose?

Pharmacodynamics (PD):
How drug affects body

What dose is needed to shrink a tumor
without causing severe neutropenia

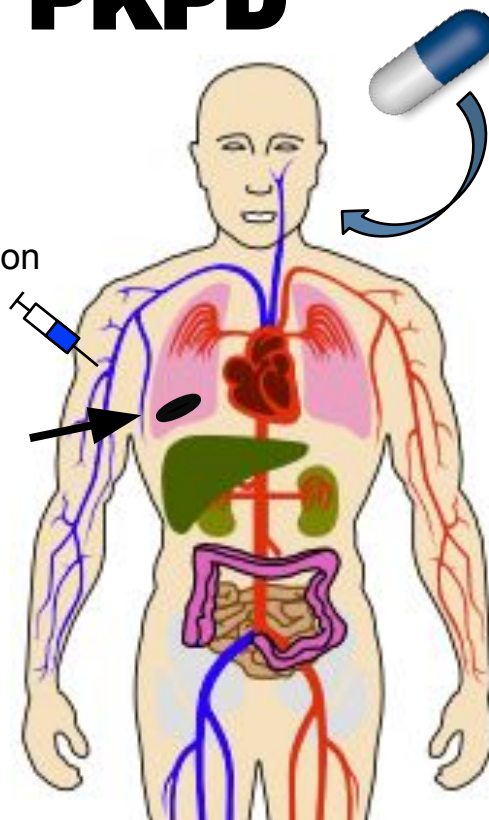
Measuring PKPD

PK (Pharmacokinetics) example

Measurement of drug concentration from circulation

PD (Pharmacodynamics) example

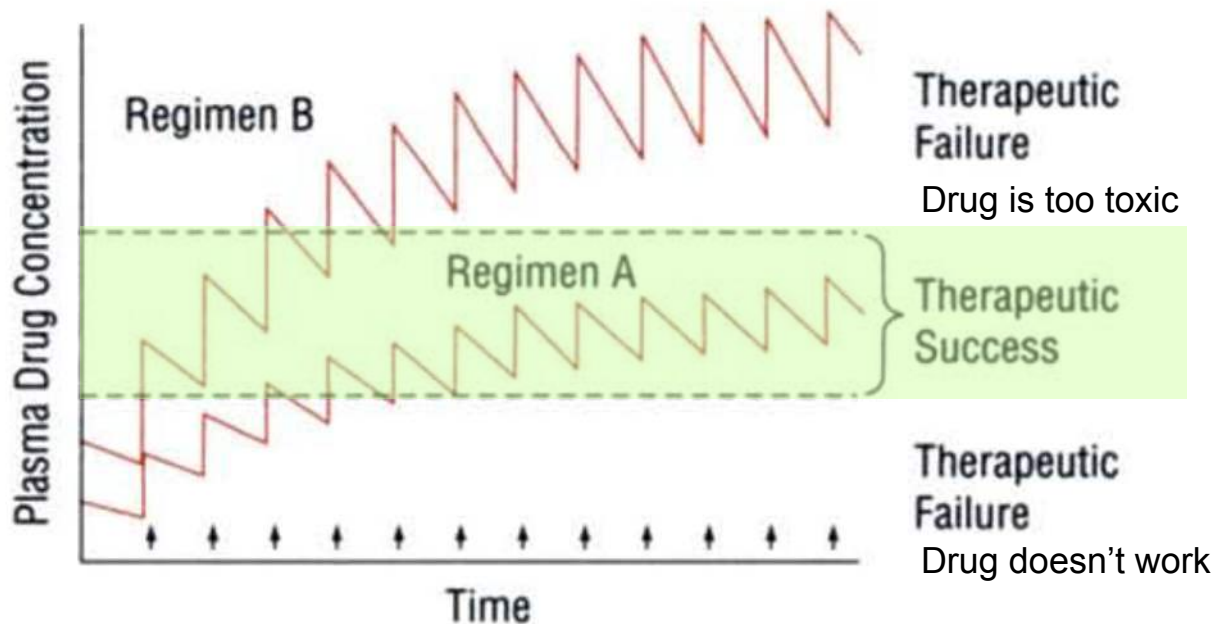
Change in tumor size, as measured by X-Ray



Dose Regimen

- amount given
- frequency
- method (oral, patch, etc.)

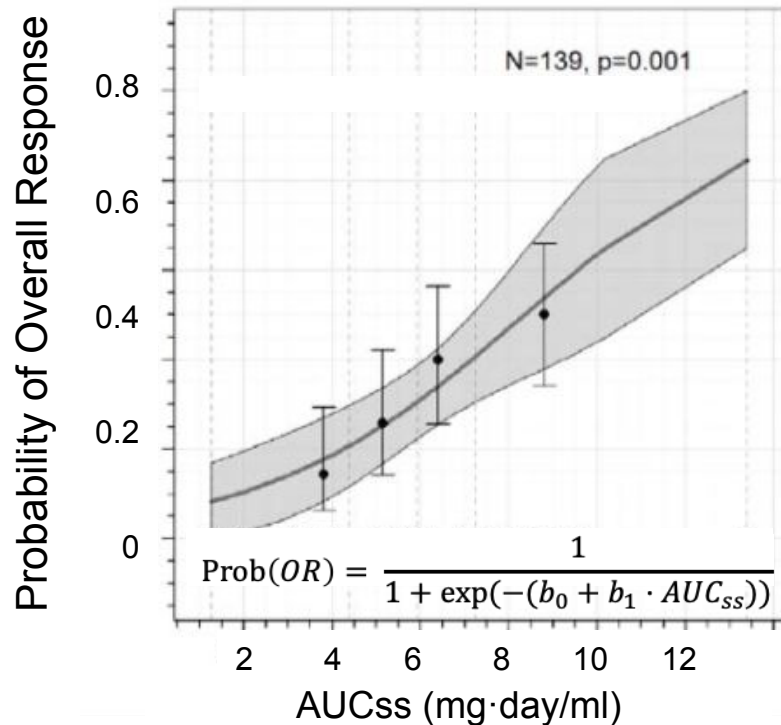
Understanding PKPD can help in picking the optimal dose regimen



Novartis was developing a PD-L1 Inhibitor. Other PD-L1 drugs on the market

- Atezolizumab dosed at 1200 mg q3w
- Our drug had similar properties to atezolizumab
- Is 1200 mg q3w the right dose for us?

Higher exposure of atezolizumab correlates with better response



Atezolizumab, 1200 mg q3w

Only one dose tested

2nd Line Non Small Cell Lung Cancer (NSCLC)

= steady state drug conc. * 21 days

From Feb 2016 FDA Clin. Pharm. Review

Clearance decreases (and exposure increases) with improved response ¹⁻²

Atezolizumab in NSCLC

(observed for most PD-1, PD-L1 inhibitors)

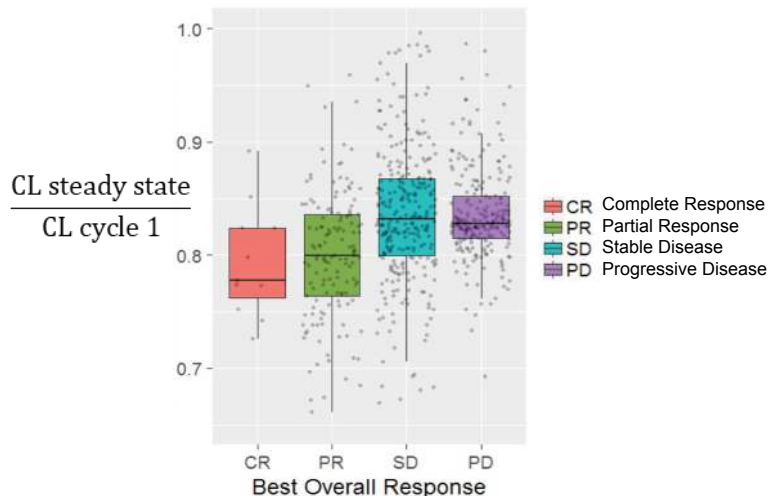
$$AUC_{ss} = \frac{\text{Dose}}{CL \cdot \tau}$$

CL = clearance

τ = dosing interval

Lower clearance → Higher AUC

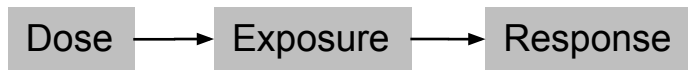
Cachexia in non-responders
might lead to faster clearance
of drug³



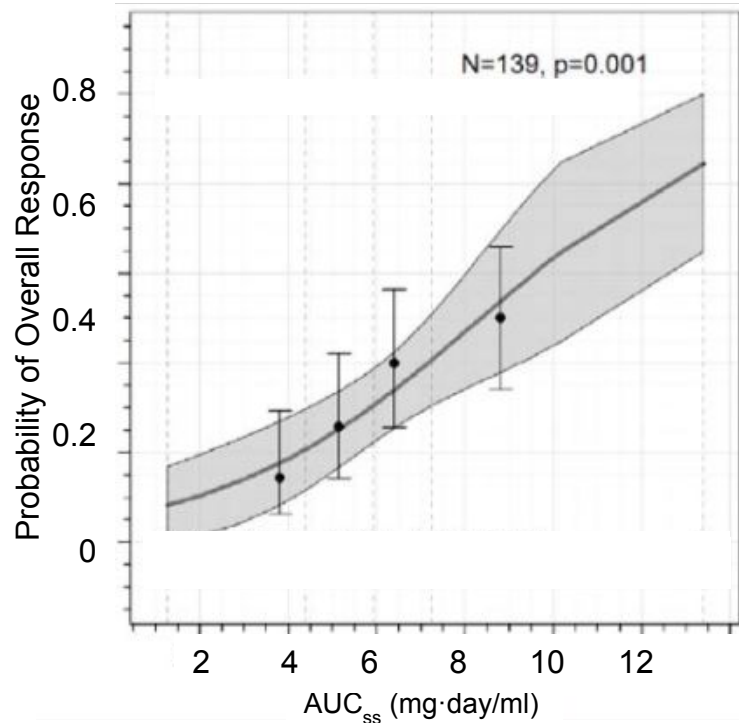
1. Liu, Chao, et al. "Association of Time-Varying Clearance of Nivolumab With Disease Dynamics and Its Implications on Exposure Response Analysis." *Clinical Pharmacology & Therapeutics* 101.5 (2017): 657-666.
2. FDA Clin Pharm Review for atezolizumab in NSCLC (2016)
3. Bajaj, G., et al. "Model-based population pharmacokinetic analysis of nivolumab in patients with solid tumors." *CPT: pharmacometrics & systems pharmacology* 6.1 (2017): 58-66.

Exposure drives response AND response drives exposure

Assumption

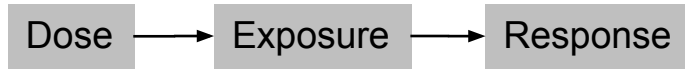


Reality
(sometimes)

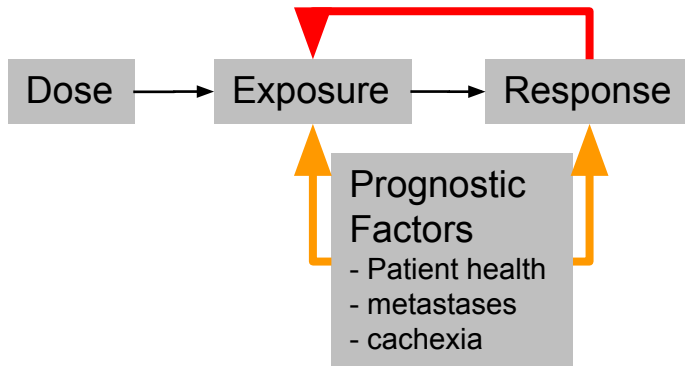


Prognostic factors may also confound the analysis

Assumption

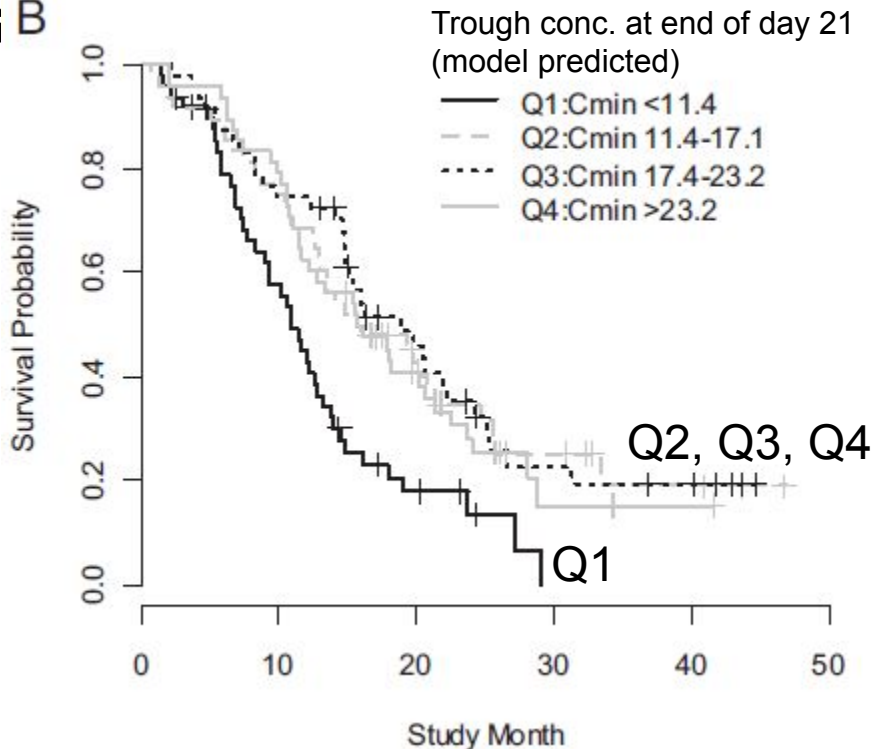


Reality
(sometimes)



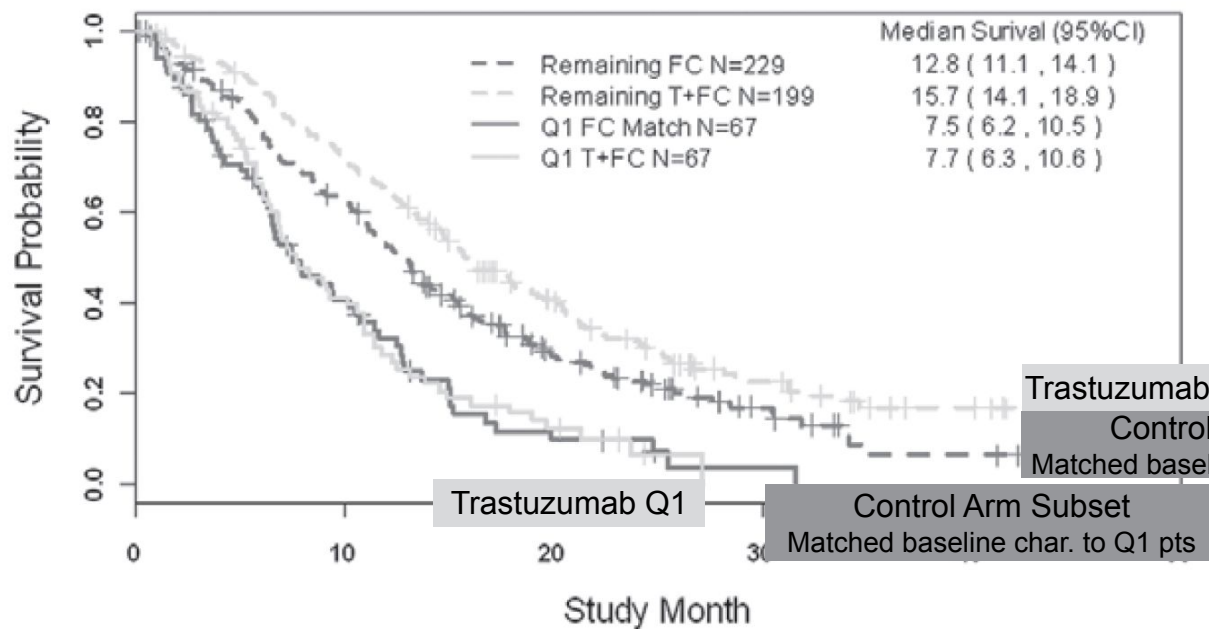
For trastuzumab in metastatic Gastric Cancer, exposure-OS relationship

obs B



1. Yang, Jun, et al. "The combination of exposure-response and case-control analyses in regulatory decision making." The Journal of Clinical Pharmacology 53.2 (2013): 160-166.

Poor response at Q1 exposure was due to prognostic factors affecting both exposure and response ¹



Key prognostic factors

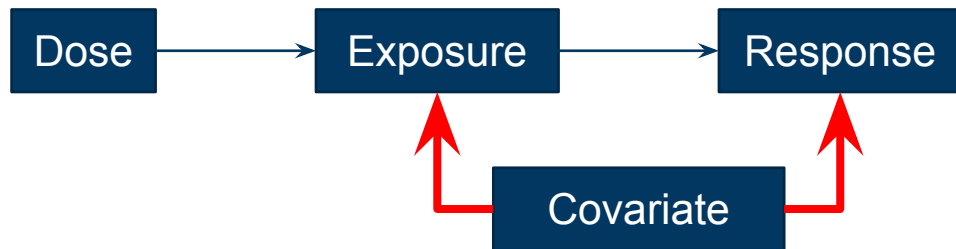
- ECOG
- Prior gastrectomy
- # metastatic sites
- Asian ethnicity
- HER2 IHC score

1. Yang, Jun, et al. "The combination of exposure-response and case-control analyses in regulatory decision making." The Journal of Clinical Pharmacology 53.2 (2013): 160-166.

**Draw a causal graph of the
dose-exposure-biomarker-resp.
relationship**

Fork:

you must stratify by covariate, otherwise estimated E. relationship will not be causal

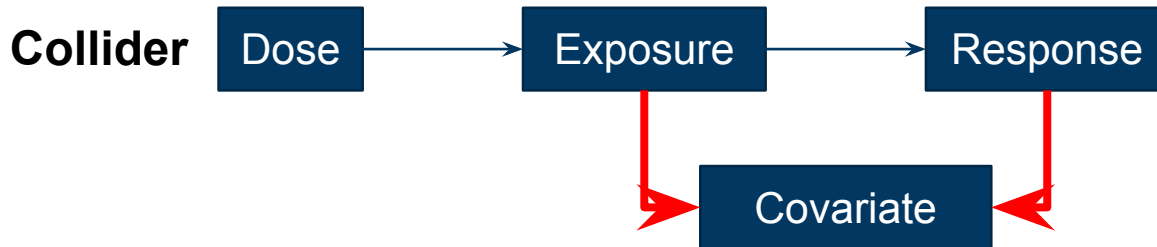
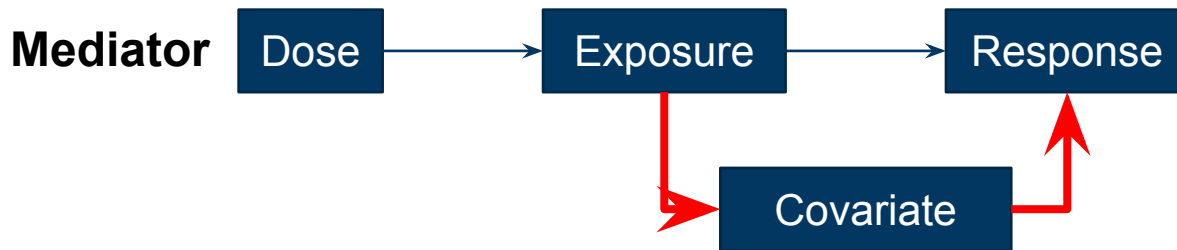


Simpson's Paradox:

https://en.wikipedia.org/wiki/Simpson%27s_paradox

Mediators and Colliders:

you must not stratify by covariate, otherwise E-R will be confounded [these are post-baseline covariates]



Feedback Loop:

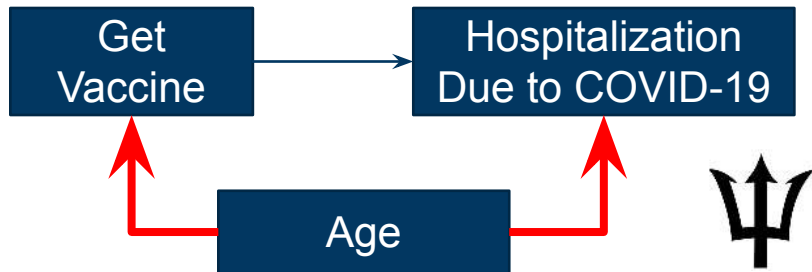
You must think about the consequences of the feedback loop(s) and choose the appropriate analysis.



Using dose or exposure only at day 1 help avoid confounding.

1. <https://arxiv.org/abs/1907.07271>

COVID-19 example



Reason for confounding: Older people are both more likely to be vaccinated and more likely to be hospitalized, irrespective of vaccination.

1. <https://www.covid-datascience.com/post/israeli-data-how-can-efficacy-vs-severe-disease-be-strong-when-60-of-hospitalized-are-vaccinated>
Israel data with Pfizer Vaccine, August 2021

Age Group	Percent vaxxed	Severe Cases per 100k people		
		no vax	Vax	
All Ages	78%	16	5.3	68%
12-15	30%	0.30	<0.01*	>97%*
16-19	74%	1.6	<0.01*	>99%*
20-29	76%	1.5	<0.01*	>99%*
30-39	81%	6.2	0.20	97%
40-49	84%	17	1.0	94%
50-59	88%	40	2.9	93%
60-69	90%	77	8.7	89%
70-79	95%	190	20	89%
80-89	93%	250	48	81%
90+	91%	510	39	92%

* Andy's guess for upper level of quantification

Drug development is challenging because biology is so complex

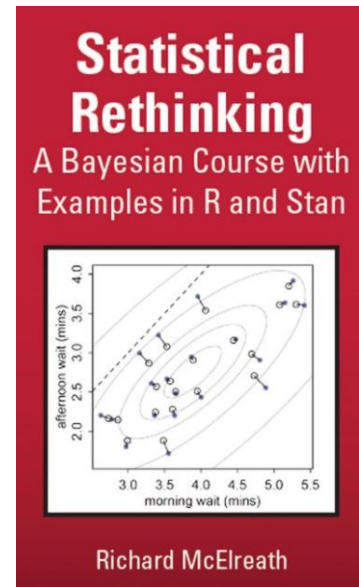
- Causal diagrams can help by identifying potentially confounding factors



Forks



Feedback Loops



Acknowledgements

- Exposure-Response Guidance Team
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